

Measurements    Expectation values

Important: understand the difference between eigenvalues and expectation values.

Example: Stern-Gerlach experiment.

Initially the system is in a  $|\psi\rangle$ -state.  
The apparatus allows us to measure  $\vec{S}_z$

For  $s = \frac{1}{2}$ -systems (e.g. electron) spin component  
along  $z$ -axis

$2s+1 = 2$  outcomes of the experiment  $\Rightarrow$

$$S_z \begin{matrix} \uparrow \\ |+\rangle \\ \downarrow \\ \text{spin "up"} \\ \text{spin "down"} \end{matrix} = \pm \frac{\hbar}{2} \begin{matrix} |+\rangle \\ |-\rangle \end{matrix} \Rightarrow \begin{matrix} \text{eigenvalues} \\ \text{are } \pm \frac{\hbar}{2}; \\ \text{eigenstates are } | \pm \rangle \end{matrix}$$

These are properties of  $S_z$  that are independent of the initial state! However, the probability to measure  $+\frac{\hbar}{2}$  or  $-\frac{\hbar}{2}$  depends on  $|\psi\rangle \Rightarrow$

The expectation value  $\langle S_z \rangle = +\frac{\hbar}{2} \cdot p\left(\frac{\hbar}{2}\right) - \frac{\hbar}{2} \cdot p\left(-\frac{\hbar}{2}\right)$  depends on  $|\psi\rangle$  and can be any real value between  $-\frac{\hbar}{2}$  and  $+\frac{\hbar}{2}$ .

## Example

(2)

Consider a system whose state is given in terms of a complete and orthonormal set  $\{|\psi_n\rangle\} \Rightarrow$

$$|\psi\rangle = \frac{1}{\sqrt{19}} |\psi_1\rangle + \frac{2}{\sqrt{19}} |\psi_2\rangle + \sqrt{\frac{2}{19}} |\psi_3\rangle + \\ + \sqrt{\frac{3}{19}} |\psi_4\rangle + \sqrt{\frac{5}{19}} |\psi_5\rangle,$$

where  $|\psi_n\rangle$  are eigenstates of the system's Hamiltonian,  $H|\psi_n\rangle = \underbrace{n\epsilon_0}_{\text{energy}} |\psi_n\rangle$ ,  $n=1,2,3,4,5$

- (a) If the energy is measured  $\rightarrow$  what values can be obtained and with what probabilities?
- (b) Find the average energy of this system.

Solution:

(a) Possible values of energy are:  $E_n = n\epsilon_0$ ,  
i.e.  $\epsilon_0, 2\epsilon_0, 3\epsilon_0, 4\epsilon_0$  and  $5\epsilon_0$

Probabilities:  $P_n(n\epsilon_0 = E_n) = \frac{|\langle \psi_n | \psi \rangle|^2}{\langle \psi | \psi \rangle} = ?$

$$\langle \psi | \psi \rangle = \frac{1}{19} + \frac{4}{19} + \frac{2}{19} + \frac{3}{19} + \frac{5}{19} = \frac{15}{19}$$

$$P_1(E_1) = \frac{1/19}{15/19} = \frac{1}{15}$$

Similarly,  $P_2(E_2 = 2\varepsilon_0) = \frac{|\langle \psi_2 | \psi \rangle|^2}{\langle \psi | \psi \rangle} = \frac{4/19}{15/19} = \frac{4}{15}$  (8)

$P_3(E_3 = 3\varepsilon_0) = \frac{2}{15}$ ;  $P_4(E_4 = 4\varepsilon_0) = \frac{1}{5}$ ;  
 $P_5(E_5 = 5\varepsilon_0) = \frac{1}{3}$

(b) Average energy  $\langle H \rangle = E = \sum_{n=1}^5 E_n P_n =$   
 $= \frac{1}{15} \cdot \varepsilon_0 + \frac{4}{15} \cdot 2\varepsilon_0 + \frac{2}{15} \cdot 3\varepsilon_0 + \frac{1}{5} \cdot 4\varepsilon_0 + \frac{1}{3} \cdot 5\varepsilon_0 =$   
 $= \varepsilon_0 \left( 1 + \frac{4}{5} + \frac{5}{3} \right) = \frac{52}{15} \varepsilon_0$

Alternatively, one could calculate an expectation value as

$$E = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} = \frac{\sum_{n=1}^5 n \varepsilon_0 a_n^2}{\sum_{n=1}^5 a_n^2} = \frac{52/19}{15/19} \varepsilon_0 =$$

$= \frac{52}{15} \varepsilon_0$

where  $|\psi\rangle = \sum_{n=1}^5 a_n |\psi_n\rangle,$

i.e.  $a_1 = \frac{1}{\sqrt{19}}$ , ...,  $a_5 = \frac{\sqrt{5}}{\sqrt{19}}$

Measurements. Compatible observables.

Consider complete orthonormal basis  $\{|\psi_n\rangle\}$ , which is an eigenbasis of an operator  $A$

$\Downarrow$   
 $A|\psi_n\rangle = a_n|\psi_n\rangle, \quad \{|\psi_n\rangle\} \in \mathcal{E}$

Then, any  $|\psi\rangle = \sum_n c_n |\psi_n\rangle, \quad \sum_n |c_n|^2 = 1$   
 $\uparrow$  initial state  $\uparrow$  normalized

The probability  $P(a_n)$  of obtaining the non-degenerate eigenvalue  $a_n$  of  $A$  is

$P(a_n) = |\langle \psi_n | \psi \rangle|^2$

When the measurement is done, the system collapses from  $|\psi\rangle \Rightarrow |\psi_n\rangle$ , which is equivalent (mathematically) to applying a projection operator  $P_n = |\psi_n\rangle\langle\psi_n|$  to  $|\psi\rangle$

What if  $a_n$  is degenerate, i.e. several (5)  
 orthonormal eigenvectors  $|\psi_n^i\rangle$  correspond  
 to  $a_n$ ?  $\Rightarrow A|\psi_n^i\rangle = a_n|\psi_n^i\rangle$ ,

$$i = 1, 2, \dots, g_n$$

$\Downarrow$

$\uparrow$  degeneracy

$$|\psi\rangle = \sum_n \sum_{i=1}^{g_n} c_n^i |\psi_n^i\rangle, \quad c_n^i = \langle \psi_n^i | \psi \rangle$$

In this case,  $P(a_n) = \sum_{i=1}^{g_n} |c_n^i|^2 = \sum_{i=1}^{g_n} |\langle \psi_n^i | \psi \rangle|^2$

So, in what state does our system end up  
 after a measurement with result  $a_n$ ?  $\Rightarrow$   
 is it  $|\psi_n^1\rangle, |\psi_n^2\rangle, \dots$ , their superposition,  
 ...?

$\Downarrow$

the measurement event in this case is equivalent  
 to projecting on a sub-space  $E_n$ , which has  
 a dimensionality of  $g_n \Rightarrow P_n = \sum_{i=1}^{g_n} |\psi_n^i\rangle \langle \psi_n^i|$

The state after the measurement is

$$|\psi_n\rangle = \sum_{i=1}^{g_n} |\psi_n^i\rangle \langle \psi_n^i | \psi \rangle$$

$\underbrace{\hspace{10em}}_{\text{" } P_n \text{ "}}$

Is  $|\Psi_n\rangle$  normalized?  $\Rightarrow$

$$\begin{aligned} \langle \Psi_n | \Psi_n \rangle &= \sum_{i,j=1}^{g_n} \underbrace{\langle \Psi_n^j | \Psi_n^i \rangle}_{\delta_{ij}} \langle \Psi_n^j | \Psi_n^i \rangle = \\ &= \sum_{i=1}^{g_n} \underbrace{|\langle \Psi_n^i | \Psi_n \rangle|}_{|c_n^i|}{}^2 = \sum_{i=1}^{g_n} |c_n^i|^2 \neq 1 \end{aligned}$$

$\uparrow$   
 $\sum_n \sum_{i=1}^{g_n} |c_n^i|^2 = 1$

To find a normalized state after the measurement

$$\begin{aligned} |\Psi_n\rangle_{\text{(normalized)}} &= \frac{|\Psi_n\rangle}{\sqrt{\langle \Psi_n | \Psi_n \rangle}} = \frac{P_n |\Psi\rangle}{\sqrt{\langle P_n \Psi_n | P_n \Psi \rangle}} = \\ &= \frac{P_n |\Psi\rangle}{\sqrt{\langle \Psi | \underbrace{P_n + P_n}_{P_n^2 = P_n} | \Psi \rangle}} = \frac{P_n |\Psi\rangle}{\sqrt{\langle \Psi | P_n | \Psi \rangle}} \end{aligned}$$

Note: If you do not know the initial state of the system  $\Rightarrow$  you can't determine  $|\Psi_n\rangle$ .  
You can state that  $|\Psi_n\rangle = \frac{\alpha}{\sqrt{|\alpha|^2 + |\beta|^2}} |\Psi_n^1\rangle +$   
say,  $g_n = 2$

$+ \frac{\beta}{\sqrt{|\alpha|^2 + |\beta|^2}} |\psi_n^2\rangle$ , but you don't know  $\alpha$  &  $\beta$ .

Another note: Consider two kets  $|\psi\rangle$  and  $|\psi'\rangle = e^{i\theta} |\psi\rangle$

Do they represent the same physical state?   
↑ real number



If  $\langle \psi | \psi \rangle = 1 \Rightarrow \langle \psi' | \psi' \rangle = 1$  ✓

Probability predicted for an arbitrary measurement

$|\langle \psi_n^i | \psi' \rangle|^2 = |e^{i\theta} \langle \psi_n^i | \psi \rangle|^2 = |\langle \psi_n^i | \psi \rangle|^2$

Similarly, for  $|\psi''\rangle = \alpha e^{i\theta} |\psi\rangle \Rightarrow$  ↑ same  
 probability would be  $\frac{|\langle \psi_n^i | \psi'' \rangle|^2}{\langle \psi'' | \psi'' \rangle} = |\langle \psi_n^i | \psi \rangle|^2$

Physically,  $|\psi\rangle$ ,  $|\psi'\rangle$  and  $|\psi''\rangle$  are the same

⇓  
 two proportional state vectors represent the same physical state

Now, what about  $|\psi\rangle = \lambda_1 |\psi_1\rangle + \lambda_2 |\psi_2\rangle$  and  $|\psi'\rangle = \lambda_1 e^{i\theta_1} |\psi_1\rangle + \lambda_2 e^{i\theta_2} |\psi_2\rangle$  Are  $|\psi\rangle$  and  $|\psi'\rangle$  same states physically?

Probabilities of measurements  $\Rightarrow$

$$\frac{|\langle \psi_n | \psi \rangle|^2}{\langle \psi | \psi \rangle} = \frac{|\lambda_1 \langle \psi_n | \psi_1 \rangle + \lambda_2 \langle \psi_n | \psi_2 \rangle|^2}{|\lambda_1|^2 + |\lambda_2|^2} =$$

$$= \frac{|\lambda_1|^2}{|\lambda_1|^2 + |\lambda_2|^2} |\langle \psi_n | \psi_1 \rangle|^2 + \frac{|\lambda_2|^2}{|\lambda_1|^2 + |\lambda_2|^2} |\langle \psi_n | \psi_2 \rangle|^2 +$$

$$+ \frac{2 \operatorname{Re} [\lambda_1 \lambda_2^* \langle \psi_n | \psi_1 \rangle \langle \psi_n | \psi_2 \rangle^*]}{|\lambda_1|^2 + |\lambda_2|^2} \quad (\#1)$$

$$\frac{|\langle \psi_n | \psi' \rangle|^2}{\langle \psi' | \psi' \rangle} = \frac{|\lambda_1 e^{i\theta_1} \langle \psi_n | \psi_1 \rangle + \lambda_2 e^{i\theta_2} \langle \psi_n | \psi_2 \rangle|^2}{|\lambda_1|^2 + |\lambda_2|^2}$$

$$= \frac{|\lambda_1|^2}{|\lambda_1|^2 + |\lambda_2|^2} |\langle \psi_n | \psi_1 \rangle|^2 + \frac{|\lambda_2|^2}{|\lambda_1|^2 + |\lambda_2|^2} |\langle \psi_n | \psi_2 \rangle|^2 +$$

$$+ \frac{2 \operatorname{Re} [\lambda_1 \lambda_2^* e^{i(\theta_1 - \theta_2)} \langle \psi_n | \psi_1 \rangle \langle \psi_n | \psi_2 \rangle^*]}{|\lambda_1|^2 + |\lambda_2|^2} \quad (\#2)$$



Compare (7.1) and (7.2)  $\Rightarrow$  they would be the same only if  $\theta_2 = \theta_1 + 2\pi k$  (9)  
 $\uparrow$  integer

$$e^{i(\theta_2 - \theta_1)} = e^{i2\pi k} = 1$$

$\Downarrow$   
Although global phase factor does not matter,  
relative phases matter!

---

What if our measurement involves measuring two observables  $A$  &  $B$ ?

$\Downarrow$   
depends on whether they are compatible

$\Downarrow$   
Two observables are compatible if their corresponding operators commute  $\Rightarrow$   $[A, B] = 0$

Otherwise they are incompatible